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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/756,417
	Filing Date	January 14, 2004
	First Named Inventor	Masayuki KUMADA et al
	Art Unit	3753
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	SUGI0125

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joerg-Uwe Szpil Registration No. 31,799 GRIFFIN & SZPIL, P.C.
Signature	
Date	June 30, 2004

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**TRANSMITTAL
FORM**

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- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Joerg-Uwe Szpil Registration No. 31,799 GRIFFIN & SZPIEL, P.C.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Masayuki KUMADA et al.

Serial No.: 10/756,417

Filed: January 14, 2004

For: METHOD AND DEVICE FOR
TEMPERATURE REDUCTION OF
EXHAUST GAS BY MAKING USE
OF THERMAL WATER

) Attorney Docket No.: **SUGI0125**

) Confirmation No.: 2978

) Group Art Unit: 3753

) Examiner: Unassigned

) Date: June 30, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, this Information Disclosure Statement in connection with the above-identified application is being filed in accordance with 37 C.F.R. §1.97(b):

- ☐ within three months of the filing date of this application (not a C.P.A.);
- ☐ within three months of the date of entry of the National Stage;
- ☒ before the mailing date of a first Office Action on the merits; or
- ☐ before the mailing of a first Office Action on the merits of, after the filing of a Request for Continued Examination (RCE) under §1.114.

In accordance with Official Gazette Notice dated August 5, 2003, copies of the U.S. patents and patent application publications are not attached. A copy of each document is listed on the attached Form PTO/SB/08A. The documents were cited in applicants' parent application serial number 10/301,734, filed November 22, 2002. Accordingly, no further comment with regard to the disclosures of these documents is believed to be required.


It is respectfully requested that the attached documents be considered and officially cited, and that a copy of Form PTO/SB/08A, be initialed by the Examiner and returned to the undersigned to indicate that the documents have been considered.

It is believed that the present Information Disclosure Statement complies with the requirements of 37 C.F.R. §§ 1.97-8, but should the filing of this paper necessitate a fee, the Director is hereby authorized to charge the necessary fee to Deposit Account No.

50-1281.

Respectfully submitted,

GRIFFIN & SZIPL, PC



Joerg-Uwe Szimpl
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/756,417
Filing Date	January 14, 2004
First Named Inventor	Masayuki KUMADA
Art Unit	3753
Examiner Name	Unassigned
Attorney Docket Number	SUG10125

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	US-3,530,807	09-29-1970	Zalman	
	B	US-3,704,570	12-05-1972	Gardenier	
	C	US-3,834,133	09-10-1974	Bow	
	D	US-4,019,882	04-26-1977	Herrera	
	E	US-4,028,072	06-07-1977	Braun et al.	
	F	US-4,383,838	05-17-1983	Barten et al.	
	G	US-4,584,147	04-22-1986	Stehning	
	H	US-5,658,361	08-19-1997	Arencibia, Jr.	
	I	US-4,110,999	09-05-1978	Dvirka	
	US-				
	US-				
	US-				
	US-				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	*†
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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